

Frequently asked questions on the submission of contact information of directors/supervisors and the disclosure of their former names and aliases

Release Date (Last Update Date)	Main Board Rules	GEM Rules	Series No.	FAQ No.	Query	Response
01/03/2019 (09/02/2021)	3.20, 19A.07A	5.13A, 17.91A	N/A	054- 2019	<p>Directors/supervisors are required to provide their contact details to the Exchange using the contact details form as soon as practicable after their appointment.</p> <p>(i) Can a director/supervisor provide his address in either English or Chinese?</p> <p>(ii) Can a director/supervisor just provide his office phone number, instead of all the office, home and mobile numbers?</p>	<p>(i) Yes.</p> <p>(ii) A director/supervisor should provide the mobile number and the telephone number (office or home, or both).</p>
01/03/2019 (09/02/2021)	3.20, 19A.07A	5.13A, 17.91A	N/A	055- 2019	<p>As a transitional arrangement, existing directors and supervisors of listed issuers are required to provide their contact details to the Exchange by 31 March 2019.</p> <p>(i) Can an issuer submit a single form for all its existing directors and supervisors?</p> <p>(ii) Which “filing type” should be used for existing directors/supervisors? Are the directors/supervisors required to fill in the “effective date”?</p> <p>(iii) If the residential address of an existing director/supervisor remains the same as that in the DU Form previously submitted to the Exchange, does he need to</p>	<p>(i) Yes.</p> <p>(ii) The issuer may submit the form with the filing type marked “first notification”. There is no need to fill in the “effective date” fields for existing directors and supervisors.</p> <p>(iii) Yes.</p>

Frequently asked questions on the submission of contact information of directors/supervisors and the disclosure of their former names and aliases

Release Date (Last Update Date)	Main Board Rules	GEM Rules	Series No.	FAQ No.	Query	Response
					include such information in the form?	
01/03/2019	Paragraph 12 of Appendix 16	18.39	N/A	056-2019	Are listed issuers required to disclose former names and aliases (if any) of directors and supervisors in their annual reports for the years ended on or before 28 February 2019?	Issuers are required to disclose such information in their annual reports to be published on or after 1 March 2019.